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PTO/SB/05 (4/98)

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Alloni	ney bocket 110. 500.3700-12100	
First	Inventor or Application Identifier Ku	miki KINO
Title	See 1 in Addendum	

only for new	v nonprovisional applications under 37 C.F.R. § 1.53(b)) Expres	
See MPEP	APPLICATION ELEMENTS chapter 600 concerning utility patent application contents.	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC 20231
X	* Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Specification [Total Pages]  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to Microfiche Appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)	5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS 7. X Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement X Power of (when there is an assignee) 9. English Translation Document (if applicable)
	- Abstract of the Disclosure Drawing(s) (35 U.S.C. 113) [Total Sheets ]	10. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
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reference.	. The incorporation can only be relied upon when a portion reference 17. CORRESPONDE	as been inadvertently omitted from the submitted application parts.
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# Attachment to PTO/SB/05 (4/98) Utility Patent Application Transmittal

1. METHOD FOR PRODUCING AMINO ACIDS BY FERMENTATION

Fee Fee Code (\$)

202 39

204 130

209

Code (\$)

104 260

103 18 203 9

102 78

109

110 18 210 Fee Description

Independent claims in excess of 3

\*\* Reissue independent claims over original patent

\*\* Reissue claims in excess of 20

and over original patent

SUBTOTAL (2) (\$) 0.00

Multiple dependent claim, if not paid

Claims in excess of 20

PTO/SB/17 (12/99)

Complete if Known

Recording each patent assignment per

property (times number of properties)

Filing a submission after final rejection (37 CFR § 1.129(a))

SUBTOTAL (3)

For each additional invention to be examined (37 CFR § 1.129(b))

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Application Number

for FY 2000		Filing	) Dat	e		September 19, 2000	
Patent fees are subject to annual revision		First	Nam	ed Inv	entor	Kuniki KINO	
Small Entity payments <u>must</u> be supported by a small entity state otherwise large entity fees must be paid. See Forms PTO/SB/0		Exan	niner	Name			
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TOTAL AMOUNT OF PAYMENT (\$)730.00				Docket	No.	506.39084X00	
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Account Number 01-2135`	105	130	205	65	Surcha	arge - late filing fee or oath	0.00
Deposit Account Antonelli, Terry Stot & Kraus, LLP	127	50	227	25	Surcha cover	arge - late provisional filing fee or sheet	0.00
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FEE CALCULATION	115	110	215	55	Extens	sion for reply within first month	0.00
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1. BASIC FILING FEE Large Entity Small Entity	117	870	217	435	Extens	ion for reply within third month	0 00
Fee Fee Fee Fee Description	118	1,360	218	680	Extens	ion for reply within fourth month	0.00
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101 690 201 345 Utility filing fee 690.00	119	300	219	150	Notice	of Appeal	0.00
107 480 207 240 Plant filing fee	120	300	220	150	_	a brief in support of an appeal	0.00
108 690 208 345 Reissue filing fee	121	260	221	130	•	st for oral hearing	0.00
114 150 214 75 Provisional filing fee	138	1,510	138	1,510		n to institute a public use proceeding	0.00
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SUBTOTAL (1) (\$) 690.00	141	1,210	241	605	Petitio	n to revive - unintentional	0.00
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility i	ssue fee (or reissue)	0.00
Fee from  Extra Claims below Fee Paid	143	430	243	215	Design	issue fee	0.00
Total Claims 10 -20** = 0 X 18 = 0	144	580	244	290	Plant is	ssue fee	0.00
Independent 3 - 3** = 0 × 78 = 0	122	130	122	130	Petitio	ns to the Commissioner	0.00
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**or number previously paid, if greater; For Reissues, see below Large Entity Small Entity	126	240	126	240	Submi	ssion of Information Disclosure Stmt	0.00

SUBMITTED BY			Complete (r	f applicable)
Name (Pnnt/Type)	William I Solomon /	Registration No. (Attorney/Agent) 28,565	Telephone	
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#### METHOD FOR PRODUCING AMINO ACIDS BY FERMENTATION

#### BACKGROUND OF THE INVENTION

The present invention relates to a method for producing an amino acid by fermentation at high industrial efficiency.

As a direct fermentation method for producing and accumulating L-amino acids directly from saccahride, there have been known methods in which mutant strains derived from wild-type strains of microorganism belonging to the genus Corynebacterium, Brevibacterium, Escherichia, Serratia or Arthrobacter. For example, the following are known as L-amino acid-producing mutants: auxotrophic mutants which require amino acids, etc. (Japanese Published Examined Patent Application No. 10037/1981), mutants which have resistance to amino acid analogs and vitamins (Japanese Published Unexamined Patent Application Nos. 134993/1981 and 44193/1987), mutants which have both auxotrophic mutation and resistance mutation to amino acid analog (Japanese Published Unexamined Patent Application Nos. 31093/1975 and 134993/1981), mutants which have lowered degradability (Japanese Published Unexamined Patent Application No. 273487/1988, and Japanese Published Examined Patent Application No. 48195/1977), and mutants whose aminoacyl t-RNA-synthesizing enzymes have a decreased substrate affinity (Japanese Published Unexamined Patent Application No. 330275/1992).

It has also been known that the production of an amino acid can be improved by using a transformants obtained by transformation with recombinant DNAs carrying genes involved in the biosynthesis of amino acids (Japanese Published Unexamined Patent Application Nos. 893/1983, 12995/1985, 210994/1985, 30693/1985, 195695/1986, 271981/1986, 458/1990 and 42988/1990; Japanese Published Examined Patent Application Nos. 42676/1989, 11960/1993 and 26467/1993).

For producing L-tryptophan, there has been a report that the productivity of the amino acid was improved by giving resistance to aminoquinoline derivatives or to phenothiazine derivatives (Japanese Published Unexamined Patent Application No. 112795/1992).

#### SUMMARY OF THE INVENTION

An object of the present invention is to provide an industrially efficient method for producing an amino acid useful as medicament, chemical agent, food material and feed additive.

The present invention relates to the following aspects (1) to (10).

- (1) A method for producing an amino acid, which comprises:
- (a) culturing in a medium a microorganism having an ability to produce an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine,

L-phenylalanine, L-proline, glycine, L-serine, L-threonine, L-cysteine, L-tyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and having resistance to an aminoquinoline derivative in a culture medium;

- (b) producing and accumulating the amino acid in the culture; and
- (c) recovering the amino acid from the culture.
- (2) The method for producing an amino acid as described above in (1), wherein the aminoquinoline derivative is selected from the group consisting of chloroquine, amodiaquine, pentaquine, primaquine and the alkali metal salts of these substances.
- (3) The method for producing an amino acid as described above in (1), wherein the amino acid is L-histidine.
- (4) The method for producing an amino acid as described above in (1), wherein the microorganism is selected from the group consisting of genera Serratia, Corynebacterium, Arthrobacter, Microbacterium, Bacillus and Escherichia.
- (5) The method for producing an amino acid as described above in (4), wherein the microorganism is *Escherichia coli* H-9341 (FERM BP-6674).
- (6) A microorganism having an ability to produce an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine, L-phenylalanine, L-proline, glycine, L-serine, L-threonine, L-cysteine, L-

tyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and having resistance to an aminoquinoline derivative.

- (7) The microorganism described above in (6), wherein the aminoquinoline derivative is selected from the group consisting of chloroquine, amodiaquine, pentaquine, primaquine and the alkali metal salts of these substances.
- (8) The microorganism described above in (6), wherein the amino acid is L-histidine.
- (9) The microorganism described above in any one of (6) to (8), wherein the microorganism is selected from the group consisting of genera Serratia, Corynebacterium, Arthrobacter, Microbacterium, Bacillus and Escherichia.
- (10) Escherichia coli H-9341 (FERM BP-6674).

#### DETAILED DESCRIPTION OF THE INVENTION

As the microorganism of the present invention, any microorganism can be used, so long as it has an ability to produce an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine, L-phenylalanine, L-proline, glycine, L-serine, L-threonine, L-cysteine, L-tyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid(referred to as the amino acid, hereinbelow) and has resistance to an aminoquinoline derivative. Examples of the

microorganism includes microorganisms belonging to the genus Serratia, Corynebacterium, Arthrobacter, Microbacterium, Bacillus and Escherichia, such as Serratia ficaria, Serratia fonticola, Serratia liquiefaciens, Serratia marcescens, Corynebacterium glutamicum, Corynebacterium mycetoides, Corynebacterium variabilis, Corynebacterium ammoniagenes, Arthrobacter crystallopoietes, Arthrobacter duodecadis, Arthrobacter ramosus, Arthrobacter sulfureus, Arthrobacter aurescens, Arthrobacter citreus, Arthrobacter globiformis, Microbacterium ammoniaphilum, Bacillus subtilis, Bacillus amyloliquefacines and Escherichia coli.

As the aminoquinoline derivative for use in the present invention, any substance can be used, so long as it has the aminoquinoline skeleton. For example, 4-aminoquinoline derivatives such as chloroquine and amodiaquine and 8-aminoquinoline derivatives such as pentaquine and primaquine can be used as the aminoquinoline derivative. Additionally, the alkali metal salts of these substances can be used as the aminoquinoline derivative. All of these substances are known as antimalarial drugs. Herein, any alkali metals such as sodium and potassium can be used as the alkali metals.

The microorganism of the present invention can be obtained by subjecting a microorganism having an ability to produce an amino acid to a conventional mutation treatment including ultraviolet irradiation and treatment with mutagen

such as N-methyl-N'-nitro-N-nitrosoguanidine (NTG), culturing the resulting mutant strains under general conditions on an agar plate medium containing an aminoquinoline derivative at a concentration at which the parent strain cannot grow or grow poorly, and selecting colonies of the strain which grow more rapidly than that of the parent strain or colonies which are larger than that of the parent strain among the resulting colonies.

As the microorganism having an ability to produce the amino acid, a microorganism inherently having an ability to produce the amino acid can be used; alternatively, a microorganism which is newly obtained by subjecting a wild-type of a microorganism to produce the amino acid by known methods can also be used.

The known methods include cell fusion method, transduction method, and other gene recombinant techniques [for all, see Molecular Cloning, A Laboratory Manual, Second Edition, Cold Spring Harbor Laboratory Press (1989) (abbreviated as Molecular Cloning, 2nd ed. hereinbelow)], in addition to the above mutation treatment.

The microorganism of the present invention can also be obtained by preparing a mutant microorganism having resistance to an aminoquinoline derivative by an conventional mutation treatment, followed by subjecting the resulting microorganism to the above-mentioned method to confer on the microorganism

the ability to produce the amino acid.

Specific examples of the microorganisms of the present invention include Escherichia coli H-9341 (FERM BP-6674).

The production of the amino acid by using the microorganism of the present invention can be carried out by an conventional method for culturing bacteria.

As the medium used for the production of the amino acid, any of synthetic medium or natural medium may be used, so long as it appropriately contains a carbon source, a nitrogen source, an inorganic substance and trace amounts of nutrients which the strain requires.

As the carbon source, carbohydrates such as glucose, fructose, lactose, molasses, cellulose hydrolysates, crude saccharide hydrolysates and starch hydrolysates; organic acids such as pyruvic acid, acetic acid, fumaric acid, malic acid and lactic acid; and alcohols such as glycerin and ethanol can be used.

As the nitrogen source, ammonia; various inorganic salts such as ammonium chloride, ammonium sulfate, ammonium acetate and ammonium phosphate; ammonium salts of organic acids; amines; peptone, meat extract, corn steep liquor, casein hydrolysates, soybean cake hydrolysates, various fermented cells and digested matters thereof can be used.

As the inorganic substance, potassium dihydrogen phosphate, dipotassium hydrogen phosphate, magnesium phosphate,

magnesium sulfate, magnesium chloride, sodium chloride, ferrous sulfate, manganese sulfate, copper sulfate, calcium chloride and calcium carbonate can be used.

The microorganism is cultured under aerobic conditions such as shaking culture and aerated agitation culture, at a temperature within a range of 20 to 40°C, preferably within a range of 28 to 37°C. The pH of the medium is within a range of 5 to 9, preferably around neutrality. The pH of the medium is adjusted by using calcium carbonate, inorganic or organic acids, alkali solutions, ammonia and pH buffers. Generally, the amino acid is produced and accumulated in the medium, by culturing for 1 to 7 days.

After the completion of the culturing, the precipitates such as cells are removed from the medium, and the amino acid can be recovered from the medium by means of ion exchange treatment method, concentration method and salting-out method, etc., in combination.

Any amino acid can be produced, so long as it is the above-mentioned amino acid in the present invention. For example, L-histidine can be produced.

The present invention is further illustrated by the following Examples, which are not to be construed to limit the scope of the present invention.

#### Example 1:

Preparation of an L-histidine-producing mutant strain having resistance to an aminoquinoline derivative

The L-histidine-producing mutant strain H-9340 having resistance to 1,2,4-triazole alanine, which was derived from methionine-requiring Escherichia coli ATCC 21318 was subjected to a mutation treatment with N-methyl-N'-nitro-N-nitrosoguanidine (NTG) (0.2 mg/ml, 30°C, 30 minutes) according to a conventional method and spread on a 150 mg/liter primaquine disodium salt-containing agar plate culture medium [0.2 % glucose, 0.3 % potassium dihydrogen phosphate, 0.6 % disodium hydrogen phosphate, 0.01 % magnesium sulfate, 0.05 % sodium chloride, 0.1 % ammonium chloride, 50 mg/liter required nutrient (DL-methionine) and 1.5 % agar, pH 7.2].

The bacteria spread on the agar plate medium were cultured at 30°C for 2 to 6 days, and the growing large colonies were picked up and separated to obtain the strain H-9341. The strains H-9340 and H-9341 were deposited on March 9, 1999 with the National Institute of Bioscience and Human-Technology, Agency of Industrial Science and Technology, MITI (1-3, Higashi 1-chome, Tsukuba-shi, Ibaraki-ken, Japan), under Budapest Treaty with accession Nos. FERM BP-6673 and FERM BP-6674, respectively.

#### Example 2:

Comparative test of growth on agar plate culture medium

#### containing primaquine

The growth of the mutant strain H-9341 obtained in Example 1 was compared with the growth of the parent strain H-9340 on an agar plate medium containing primaquine.

Each of the mutant strains, which had been cultured in a natural medium for 24 hours and suspended in physiological saline, was spread at a cell density of 1 to 10 cells/cm² on an agar plate medium containing primaquine disodium salt at the same concentration as that at the time of the acquisition of each mutant strains, and cultured at 33°C for 4 days.

Growth or non-growth of the strains on the above media is shown in Table 1.

The parent strain H-9340 did not grow on (in) the agar plate culture medium containing primaquine.

Table 1

Bacterial	Additives for agar culture medium			
strain	No addition	Primaquine disodium salt		
H-9340	+	-		
H-9341	+	+		

#### Example 3

#### Production of L-histidine

The production of L-histidine using the mutant strain H-9341 obtained in Example 1 and the parent strain H-9340 was carried out in the following manner.

Each of the strains H-9340 and H-9341 was inoculated in 6 ml of a seed medium (2 % glucose, 0.5 % molasses, 1 % corn steep liquor, 1.2 % ammonium sulfate, 0.3 % potassium dihydrogen phosphate, 0.015 % magnesium sulfate, 600 mg/liter DL-methionine, 100 mg/liter adenine, 3 % calcium carbonate, pH 6.2) in a large test tube, and cultured with shaking at 30°C for 12 hours.

Each of the obtained seed cultures (0.1ml) was inoculated in 5 ml of a production medium (6 % glucose, 1 % corn steep liquor, 2.4 % ammonium sulfate, 0.4 % potassium dihydrogen phosphate, 0.015 % magnesium sulfate, 10 mg/liter thiamine chloride salt, 10 mg/liter calcium pantothenate, 3 % calcium carbonate, pH 6.5) in a large test tube and was then cultured therein with shaking at 30°C for 48 hours.

After culturing, the amount of L-histidine accumulated in the medium was assayed by high-performance liquid chromatography.

The results are shown in Table 2.

Compared with the L-histidine productivity of the parent strain, the L-histidine productivity of the mutant strain H-9341 was improved.

Table 2

Bacterial strains	L-Histidine (g/l)
H-9340	13.0
H-9341	14.2

with the present invention, In accordance microorganism having an ability to produce an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine, L-phenylalanine, Lproline, glycine, L-serine, L-threonine, L-cysteine, Ltyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and having resistance to an aminoquinoline derivative can be obtained and by culturing the microorganism in a medium, the productivity of the amino acid can be enhanced so that the amino acid can be industrially efficiently produced.

What is claimed is:

- 1. A method for producing an amino acid, which comprises:

  (a) culturing a microorganism having an ability to produce an amino acid selected from the group consisting of L-alanine,

  L-valine, L-leucine, L-isoleucine, L-methionine, Lphenylalanine, L-proline, glycine, L-serine, L-threonine,

  L-cysteine, L-tyrosine, L-asparagine, L-glutamine, L-lysine,

  L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and having resistance to an aminoquinoline derivative in a medium;
- (b) producing and accumulating the amino acid in the culture; and
- (c) recovering the amino acid from the culture.
- 2. The method for producing an amino acid according to claim 1, wherein the aminoquinoline derivative is selected from the group consisting of chloroquine, amodiaquine, pentaquine, primaquine and the alkali metal salts of these substances.
- The method for producing an amino acid according to claim
   wherein the amino acid is L-histidine.
- 4. The method for producing an amino acid according to claim

  1, wherein the microorganism is selected from the group

  consisting of genera Serratia, Corynebacterium, Arthrobacter,

  Microbacterium, Bacillus and Escherichia.
- 5. The method for producing an amino acid according to claim 4, wherein the microorganism is Escherichia coli H-9341 (FERM

BP-6674).

- 6. A microorganism having an ability to produce an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine, L-phenylalanine, L-proline, glycine, L-serine, L-threonine, L-cysteine, L-tyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and having resistance to an aminoquinoline derivative.
- 7. The microorganism according to claim 6, wherein the aminoquinoline derivative is selected from the group consisting of chloroquine, amodiaquine, pentaquine, primaquine and the alkali metal salts of these substances.
- 8. The microorganism according to claim 6, wherein the amino acid is L-histidine.
- 9. The microorganism according to any one of claims 6 to 8, wherein the microorganism is selected from the group consisting of genera Serratia, Corynebacterium, Arthrobacter, Microbacterium, Bacillus and Escherichia.
- 10. Escherichia coli H-9341 (FERM BP-6674).

#### ABSTRACT OF THE DISCLOSURE

The present invention provides a method for producing an amino acid selected from the group consisting of L-alanine, L-valine, L-leucine, L-isoleucine, L-methionine, L-phenylalanine, L-proline, glycine, L-serine, L-threonine, L-cysteine, L-tyrosine, L-asparagine, L-glutamine, L-lysine, L-histidine, L-arginine, L-aspartic acid and L-glutamic acid and useful as medicament, chemical agent, food material and feed additive at high industrial efficiency, the method comprising culturing a microorganism having an ability to produce the amino acid and having resistance to an aminoquinoline derivative in a medium, producing and accumulating the amino acid in the present invention in the culture, and recovering the amino acid from the culture.

### DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

the specification of which (check one)		reto.	, ,
the specification of which (check one)	was filed on		,
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I hereby state that I have reviewlaims, as amended by any amendment re		contents of the above-identified spe	ecification, including
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I hereby claim foreign priority patent or inventor's certificate listed be certificate having a filing date before that	elow and have also identi	United States Code, §119 of any fifed below any foreign application ch priority is claimed:	foreign application(s) for patent or invent
Prior Foreign Application(s)			Priority Claimed
265108/99	JAPAN	20 September 1999	X
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No

(Filing Date)

(Application Serial No.)

(Status: patented, pending, abandoned)

I hereby appoint as principal attorneys; Donald R. Antonelli, Reg. No. 20,296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; Stanley A. Wal, Reg. No. 26,432; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiaveli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973 and Carl I. Brundidge, Reg. No. 29,621 to prosecute and transact all business connected with this application and any related United States application and international applications. Please direct all communications to the following address:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or improsonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date September 5, 2000 Inventor Kuniki Inventor's Signature Kuniki Komo	Kino		
Inventor's Signature Kuniki kmo	Citiconobin	Tanan	
Residence <u>Same as Post Office Address</u> Post Office Address <u>1-14-8</u> , <u>Mizuho</u> , <u>Hanamic</u>	citizenship rawa-ku. Chiba-	-shi. Chiba 2	62-0026
Japan	34W4 1147 VIII		
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Date September 8, 2000 Inventor Tetsuva	Abe		
Inventor's Signature			
Residence <u>Hofu-shi</u> , <u>Japan</u>	Citizenship		TOCACO
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